

Controls on the tropical response to abrupt climate changes

Roberts, William H. G.; Hopcroft, Peter

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Key Points:

- Tropical rainfall movements during abrupt events respond to local surface temperature changes
- No change in Greenland temperature during Heinrich events due to no change in sea ice during these events
- Small response of Cariaco Basin rainfall to Heinrich events due to far southward position of ITCZ during preceding DO stadial

Correspondence to:

W. H. G. Roberts,
william.roberts@northumbria.ac.uk

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Controls on the Tropical Response to Abrupt Climate Changes

W. H. G. Roberts^{1,2}  and P. O. Hopcroft^{2,3} 

¹Department of Geography and Environmental Science, Northumbria University, Newcastle upon Tyne, UK, ²BRIDGE, School of Geographical Sciences, University of Bristol, Bristol, UK, ³Geography, Earth and Environmental Sciences, University of Birmingham, Birmingham, UK

Abstract Abrupt climate change events during glacial times have distinct tropical imprints, despite their cause being at high latitudes. The mechanisms by which high latitudes affect low latitudes are currently unclear. We present climate model simulations of a set of different abrupt events and find changes in tropical rainfall can not be comprehensively explained by either changes in sea ice extent or ocean circulation. Changes in tropical meridional temperature gradients are the clearest way to explain tropical rainfall changes across all events. We find some tropical regions are unlikely to record Heinrich events because the rainfall is moved far enough away from them during stadial periods that they are insensitive to further change. Greenland temperature responds linearly to Atlantic sea ice extent, suggesting that the absence of change in Greenland temperature during Heinrich events implies no sea ice expansion, despite major changes in the climate system elsewhere.

1. Introduction

There is a large body of evidence that the abrupt climate changes of the last glacial period so clearly seen in North Atlantic climate records can be seen much further afield (e.g., Deplazes et al., 2013). Understanding these speaks to our understanding not only of the climate of the past but also to the basic dynamics of the climate system.

There were two distinct abrupt climate changes in the North Atlantic during the last glacial period: Dansgaard/Oeschger (DO) events and Heinrich events. DO events are most clearly seen in Greenland ice core records and are likely related to changes in North Atlantic sea ice (Dokken et al., 2013; Gildor & Tziperman, 2003; Li, 2005); Heinrich events occurred during the cold, stadial periods of some DO events and are not seen in Greenland ice core records. By definition, Heinrich events are related to collapses of Northern Hemisphere ice sheets and their attendant fluxes of water and debris into the North Atlantic (Heinrich, 1988; Hemming, 2004). While it has been suggested that the ocean circulation is fundamental in explaining changes in North Atlantic climate (Broecker et al., 1985), its exact role is debated. During Heinrich events, there were undoubtedly fluxes of freshwater into the ocean, which could have disrupted the circulation, but it is unclear whether any observed changes occurred after, before, or even caused ice sheet collapse (Alvarez-Solas & Ramstein, 2011; Lynch-Stieglitz et al., 2014; Marcott et al., 2011). During DO events, there is evidence that the ocean circulation evolved from being relatively slow and shallow during the stadials to deeper and more vigorous during the interstadials (e.g. Burckel et al., 2015; Henry et al., 2016); however, this evidence is not conclusive (e.g., Them et al., 2015; Thornalley et al., 2013). Furthermore, it is not obvious whether the ocean circulation is a unique driver of change or just part of an internal oscillation of the coupled ocean-atmosphere-sea ice system (Brown & Galbraith, 2016; Drijfhout et al., 2013; Kleppin et al., 2015; Martin et al., 2015; Sidorenko et al., 2014; Vettoretti & Peltier, 2018).

Changes in the location of tropical rainfall during Heinrich and DO events have been linked to changes in the high latitudes through a number of mechanisms. Chiang and Bitz (2005) showed that cooling at high northern latitudes can propagate south, leading to colder sea surface temperature north of the equator. These act to move the intertropical convergence zone (ITCZ) southward (the importance of local tropical sea surface temperature changes in this movement was further investigated by Cvijanovic & Chiang, 2012). Marshall et al. (2013) and Schneider et al. (2014) argued that because the ITCZ and the location of ascent in the Hadley circulation are linked, and because the Hadley circulation is responsible for much of the heat transported

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between hemispheres by the atmosphere, an ITCZ shift can be linked to changes in atmospheric heat transport. Through this relationship, it has been argued that the ITCZ adopts its position to maintain the global energy balance. Indeed, Marshall et al. (2013) argue that the mean position of the ITCZ north of the equator, and implied southward energy transport, is required to balance the ocean's northward heat transport. Anything that acts to perturb the global energy balance, or heat transported by the ocean, could, therefore, directly lead to a movement in the ITCZ. Since northward heat transport in the ocean is strongly related to the Atlantic meridional overturning circulation (AMOC), it has been proposed that AMOC changes can be related to movements of the ITCZ (Mulitza et al., 2017): AMOC weakening will tend to cool the NH, with an associated southward movement of the ITCZ. More recent work has suggested that wind-driven ocean dynamics can weaken the sensitivity of the ITCZ to extratropical heating, however (Green & Marshall, 2017; Kang et al., 2018; Schneider, 2017).

These two mechanisms offer a way to understand why the ITCZ moves during abrupt climate changes whose root is in the high latitudes. One view indicates a need to understand the processes by which the high latitudes can alter the surface climate in the tropics; the other indicates that we need to understand how energy transports evolve. The second energy-based view potentially links ocean circulation changes, which will change how the ocean transports heat, to changes in the location of the ITCZ. In particular, it suggests that we ought to be able to predict how much the ITCZ shifts given how much the ocean circulation changes (Mulitza et al., 2017). We shall address this question here: is there a general mechanism that can explain why tropical rainfall responds to abrupt events in high latitudes?

A general description of the impact of DO and Heinrich events on the tropics must answer two further questions. First, since Greenland temperatures were no different during Heinrich events to the preceding DO stadial, it must explain why the ITCZ moves south despite the North Atlantic apparently being no cooler during Heinrich events. Second, while many locations in the tropics indicate progressive drying from DO stadials into Heinrich events, others do not. The Cariaco Basin reflectance record (Peterson, 2000) is an example of this. Why, therefore, do some tropical records appear insensitive to Heinrich events?

In this study, we shall compare the tropical precipitation response of the climate to DO and Heinrich events by imposing two different types of forcing to a coupled atmosphere/ocean climate model. To simulate DO stadials, we impose a sea ice concentration in the North Atlantic and Nordic Seas; to simulate Heinrich stadials, we impose a hosing to the North Atlantic. In nature, no two DO or Heinrich events are the same. To understand how different events might affect the climate, we also vary size of the forcing. Understanding the trends in the climate response allows us to understand the differences between events and, more importantly, draw out any general relationships about ITCZ movements.

2. Methods

In order to simulate the effects of abrupt changes, there has been some success using “hosing” simulations: model simulations in which an artificial freshwater flux is introduced into the ocean in order to disrupt the AMOC (Manabe & Stouffer, 1995). While this may be a suitable model setup for simulating Heinrich events, in which there is a flux of freshwater, it is not clear that this is representative of DO events. For, while hosing simulations increase the sea ice concentration in the Nordic Seas and North Atlantic in agreement with proxy data, they also disrupt the ocean circulation in a very particular way (Chang et al., 2008). Since it is not clear that there was a significant source of freshwater during DO events, it is not clear that the distinct ocean circulation changes simulated by hosing simulations will match those seen during DO events. Some models do spontaneously produce DO-like events (Brown & Galbraith, 2016; Drijfhout et al., 2013; Kleppin et al., 2015; Sidorenko et al., 2014; Vettoretti & Peltier, 2018) due to feedbacks between sea ice cover and ocean stratification, but it is not clear how similar the ocean responses are in these simulations to those with hosing. It is important to understand these differences because outside the North Atlantic, the climate will respond more strongly to ocean circulation changes than to increases in sea ice.

Here, we use the fully coupled climate model HadCM3 (Gordon et al., 2000; Pope et al., 2000; Valdes et al., 2017). Although this model does a good job at simulating tropical rainfall, it is known to have too little cloud cover that is too optically bright (e.g., Massey et al., 2015). This is a common deficiency in global climate models, including nearly all of those in Coupled Model Intercomparison Project Phase 5 (Nam et al., 2012). Low clouds are known to be important in determining tropical rainfall (Hwang & Frierson, 2013).

Our hosing simulations impose a freshwater flux uniformly across the North Atlantic between 50° and 70°N. Very similar results can be obtained using a freshwater distribution that better simulates freshwater released from a collapse of the Laurentide Ice Sheet (see supporting information Roberts et al., 2014). We choose to use the uniform forcing, however, for better comparability with other studies. We vary the forcing between 0.04 and 1.0 Sv ($10^6 \text{ m}^3 \text{ s}^{-1}$). To impose an annual mean sea ice cover to the North Atlantic, we modify the sea ice module in the model, artificially growing sea ice to ensure that sea ice persists at a specified location and to a specified depth and concentration. We vary the location of the southern edge of this annual mean sea ice to be between 70° and 40°N. The sea ice depth is 4 m and has a concentration of up to 95%. Note that because sea ice can be advected in the model, there are changes to the sea ice distribution outside the area in which sea ice is imposed. We also undertake a set of simulations in which we prescribe a sea ice concentration and also impose a hosing. With these simulations, we can better deconvolve how the climate responds to both sea ice and hosing. They are also the best analog for Heinrich events as they account for both extended sea ice typical of stadial periods and freshwater fluxes from the Laurentide Ice Sheet.

Neither hosing simulations nor the sea ice-specified simulations are strictly conservative: in both types of simulation, energy is not conserved (in hosing simulations, mass is not conserved; and in sea ice simulations, the energy budget is slightly perturbed). The theory relating the ITCZ and energy transports requires a closed energy budget. However, the relationship is still present, and indeed robust, in models in which the energy budget is far from closed (Donohoe et al., 2013; Roberts et al., 2017).

DO and Heinrich events did not occur throughout the last glacial period, which suggests that the occurrence of these events may depend upon the background climate state. To investigate this possible state dependence, we undertake two sets of simulations: one perturbing about last glacial maximum climate and one about preindustrial.

Because we use a coupled climate model, both the oceanic and atmospheric circulations can respond to forcing. We find that under both forcing regimes, there is a weakening of the AMOC and a shift in the location of the ITCZ. It is to these changes that we now turn our attention.

3. The Relationship Between the ITCZ and the Ocean or Sea Ice

We examine ITCZ location using the centroid of global precipitation (P_{cent} Donohoe et al., 2013). This is a zonal mean metric that emphasizes the relationship between energy transports and the ITCZ. We caution, however, that local rainfall changes can be quite unrelated to the zonal mean (Roberts et al., 2017; Singarayer et al., 2017). Other ITCZ location metrics exist; however, P_{cent} best emphasizes the relationship between atmospheric heat transport and ITCZ location (Roberts et al., 2017).

Weakening of the AMOC can reduce the northward ocean heat transport. This could alter the ITCZ location through some energetic constraint (Marshall et al., 2013) or because, as the North Atlantic cools, the ITCZ moves (Chiang & Bitz, 2005). We first examine the relationship between ITCZ location and AMOC strength. Our experiments show a general trend for a southward ITCZ shift with weaker AMOC (Figure 1a). This is most apparent in the set of hosing simulations (blue squares). However, there is a large spread in the response—a 0.3° southward ITCZ shift is related to a change in AMOC strength of anything between 0 and −12 Sv. If AMOC changes were a dominant control on ITCZ location, we would not expect to see such a spread.

While AMOC strength is doubtless related to northward ocean heat transport, changes in the temperature structure of the ocean or the wind-driven surface circulation could also change ocean transport (Green & Marshall, 2017; Kang et al., 2018; Schneider, 2017). To more directly measure the link between the ITCZ and the ocean, we directly calculate the ocean heat transport. Although this may be calculated within a model, this is unknown in the paleo-ocean. Our simulations show a general southward ITCZ movement as ocean heat transport decreases: this relationship is not robust, however (Figure 1b). Hosing simulations using a preindustrial climate show the strongest relationship (blue squares), with other experiments showing lower correlation. It is notable that experiments in which we prescribe sea ice (circles) show quite large changes in ITCZ location for no changes in ocean heat transport. From this, we conclude that it is not possible to make a general relationship between ITCZ position and ocean transports. The specific case of hosing in a preindustrial climate (blue squares) demonstrates a strong linear relationship between both the strength of

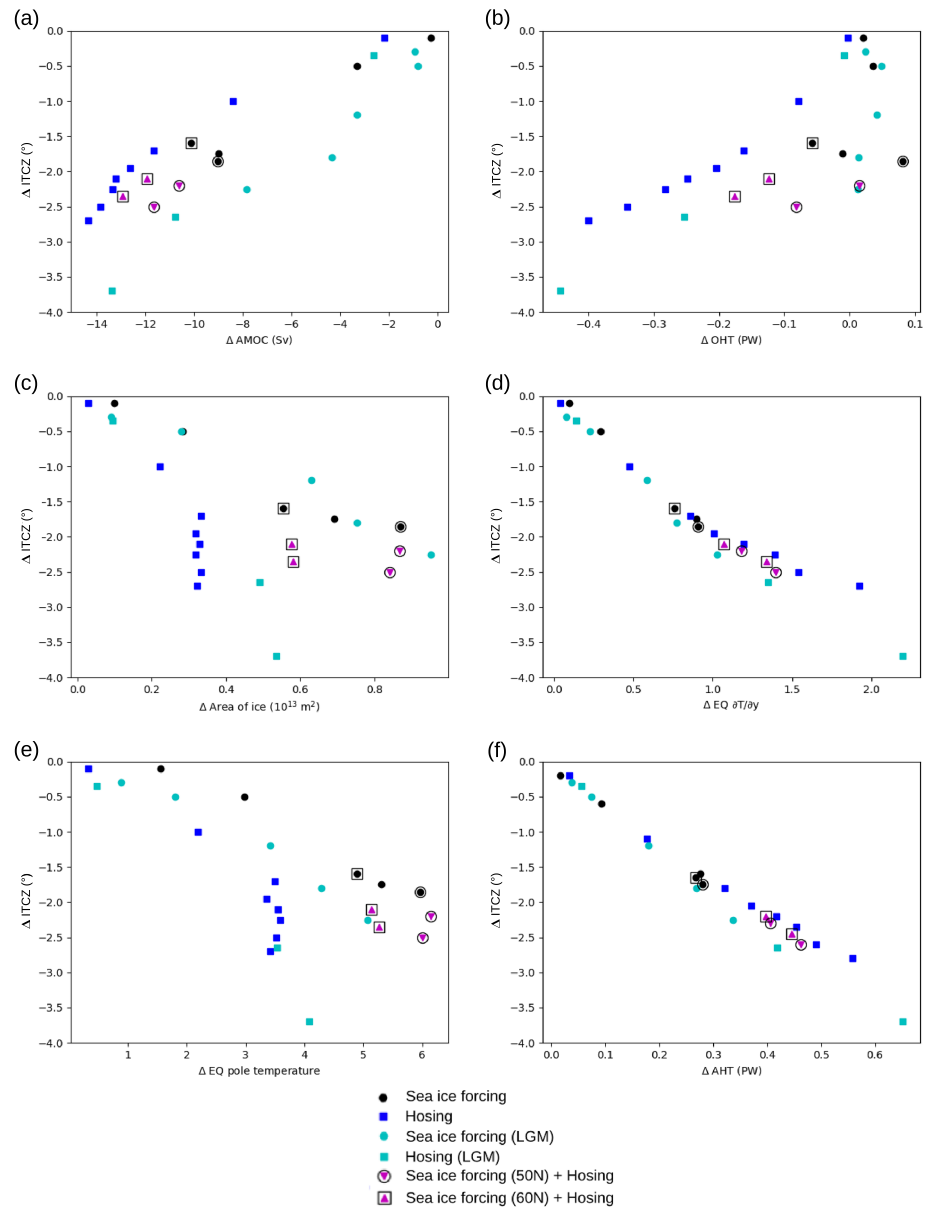


Figure 1. Annual mean location of the ITCZ plotted against various metrics of the climate for all simulations. All are plotted as anomalies relative to either a preindustrial or LGM control. (a) ITCZ versus AMOC (defined as the maximum value of the overturning stream function in the Atlantic Ocean north of 30°N). (b) ITCZ versus ocean heat transport (OHT) across the equator computed explicitly. (c) ITCZ versus sea ice area in the North Atlantic. (d) ITCZ versus meridional surface temperature gradient in the northern tropics ($\text{EQ } \partial T / \partial y$ average temperature difference between 10°S – 10°N and 10°N – 30°N). (e) ITCZ versus equator to pole temperature difference in the NH (average temperature difference between 10°S – 10°N and 60°N – 80°N). (f) ITCZ versus atmospheric energy transport (AHT) across the equator.

the AMOC, northward ocean heat transport, and the position of the ITCZ. This relationship, however, is unique to this one experiment.

It has been suggested that the ITCZ will move southward as sea ice extent in the North Atlantic increases (Chiang & Bitz, 2005; Rhodes et al., 2015). We find that as sea ice area increases, the ITCZ does move southwards; however, this is not a strong relationship (Figure 1c). Among hosing simulations (blue squares), there are significant movements in the ITCZ when the amount of hosing increases despite no increase in the sea ice extent. Furthermore, comparing the experiments in which we impose sea ice and include a hosing (colored markers surrounded by square or circle), we find changes in ITCZ location despite the same

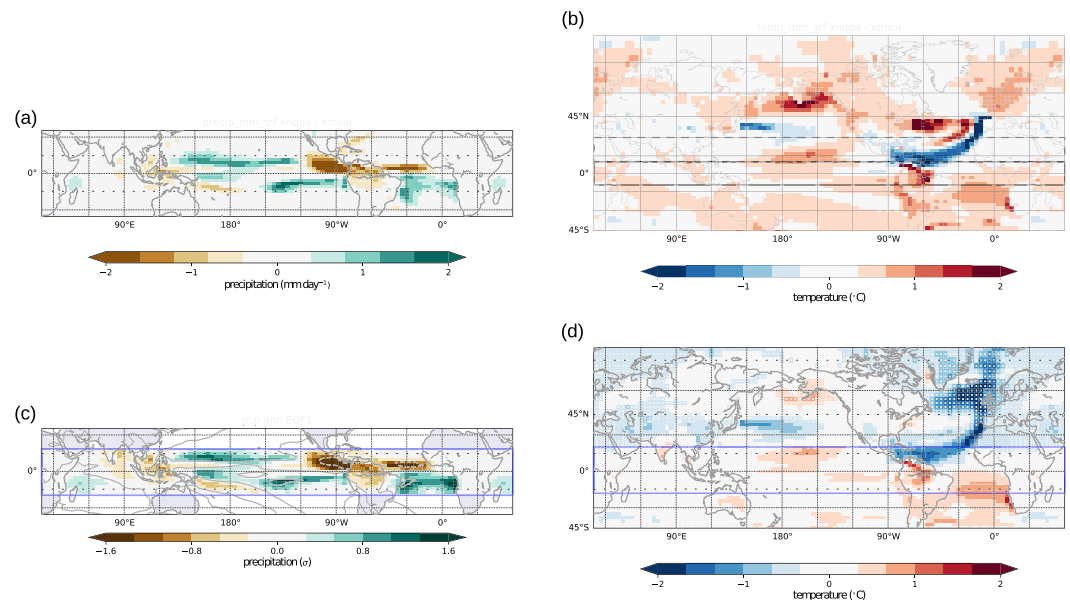


Figure 2. Maps showing climate response to hosing and imposed sea ice. (a) The difference in precipitation between a sea ice imposed simulation and a sea ice and hosing imposed simulation. (b) The difference in surface temperature between a sea ice-imposed simulation and a sea ice- and hosing-imposed simulation. (c) First EOF of change in tropical (20°N – 20°S) precipitation across all simulations. (d) Surface temperature associated with the precipitation pattern shown in (c). The blue outline box in (c) and (d) indicates the area over which the EOF of precipitation is calculated. The gray boxes in (b) indicate (10°S – 10°N and 10°N – 30°N) the area over which we calculate the meridional temperature gradient in the tropics ($\text{EQ } \partial t / \partial y$) in Figure 1d.

sea ice distribution. We thus conclude that the ITCZ location does not respond directly to sea ice area in the North Atlantic. We should note that in the suite of experiments in which we prescribe the amount of sea ice (black-filled circles), there is a strong linear relationship between sea ice and ITCZ location. However, this relationship is unique to this experimental setup.

We have demonstrated that it is not possible to generalize the relationship between the ITCZ location and either the state of the ocean circulation or the amount of sea ice in the Northern Hemisphere. This begs the question: is there some mechanism that can explain the shift in the ITCZ regardless of how the model is forced? In common with other studies (Donohoe et al., 2013; Roberts et al., 2017), we do find a strong relationship between the atmospheric heat transport across the equator and ITCZ location (Figure 1f). However, this is not a practical way to explain tropical rainfall changes because we have no way of predicting how the equatorial heat transport will change in response to the extratropical forcings that are imposed.

Experiments in which we impose both hosing and a sea ice distribution offer a way to assess a general relationship. By prescribing sea ice area in the North Atlantic, the high-latitude temperature change remains the same, eliminating this as a cause; we have just shown that ocean circulation changes are not a direct cause either.

4. How the ITCZ is Related to Abrupt Changes

We find in all simulations where hosing is imposed in addition to a specified sea ice extent, there is a southward ITCZ movement (see the markers in Figure 1 outlined by the open square or circle). Furthermore, the ITCZ is located farther south when the amount of freshwater introduced is larger. For one particular case (sea ice imposed to 50°N in the Atlantic and 0.25-Sv freshwater forcing), we see a large change in the two-dimensional rainfall distribution when the hosing is introduced in addition to the sea ice; this accounts for the southward shift of the zonal mean ITCZ (Figure 2a). As expected, there is negligible change in the sea ice distribution (supporting information). Maps of surface temperature change show that there is no temperature change at high latitudes in the North Atlantic (Figure 2b). Looking near the equator, we see that there is a distinct cooling extending along the eastern and southern edges of the Atlantic subtropical gyre, giving a broad cooling at 15°N . South of the equator, we see a more muted, but equally widespread,

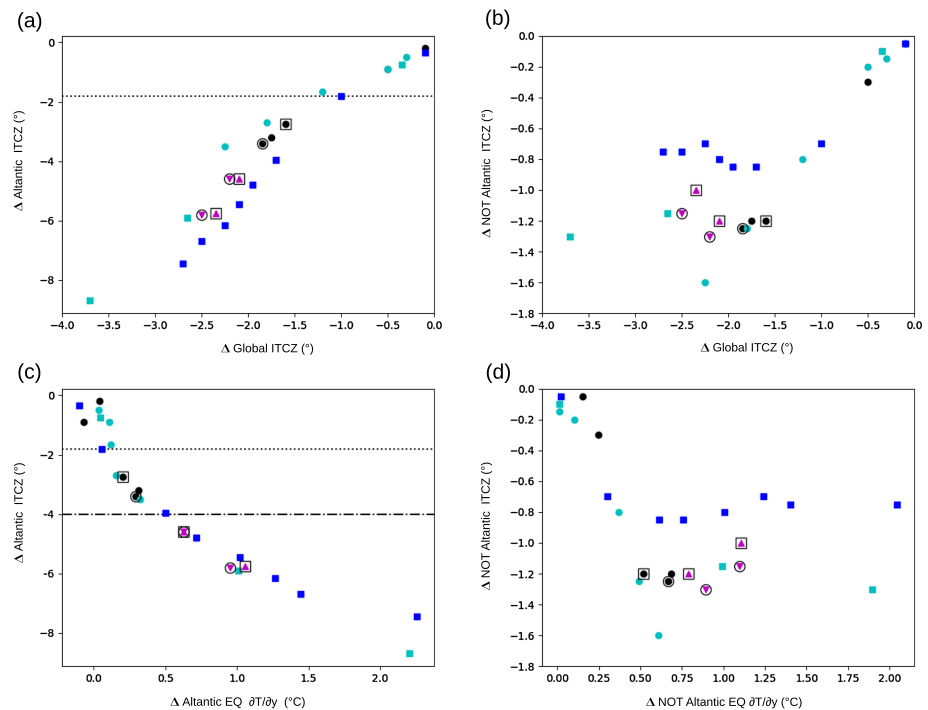


Figure 3. Relationships between global and Atlantic ITCZ. (a) Change in the location of the Atlantic (240°E–0°E) ITCZ plotted against the global ITCZ. (b) Change in the location of the ITCZ everywhere but the Atlantic (0°E–240°E) plotted against the global ITCZ. (c) Change in the location of the Atlantic ITCZ plotted against Atlantic meridional surface temperature gradient in the northern tropics. (d) Change in the location of the ITCZ everywhere but the Atlantic plotted against meridional surface temperature gradient in the northern tropics everywhere but the Atlantic.

warming. This pattern is very reminiscent of the meridional mode that was originally proposed as the cause of the ITCZ shifts (Chiang & Bitz, 2005).

To generalize the response, we compute the empirical orthogonal functions of tropical precipitation anomalies across all of the simulations. The pattern that explains most of the variance in precipitation (75%) is a movement to the south (Figure 2c). It is notable that the largest changes in the rainfall are in the Atlantic extending into the far east Pacific. Here, the pattern is similar to a double ITCZ bias. This pattern is the same if we use only the sea ice forced or the hosing simulations (supporting information). The surface temperature field that is associated with this rainfall pattern shows a distinct cooling around 15°N in the Atlantic (Figure 2d). This is very similar to the pattern shown in the one single pair of experiments imposing sea ice with and without hosing (Figure 2b).

In order to generalize the zonal mean ITCZ response, we show in Figure 1d P_{cent} plotted against the temperature difference across the equator (the difference between 10°S–10°N and 10°N–30°N). This is a similar metric to that used in Donohoe et al. (2013) but more closely reflects the region shown in Figure 2d to be associated with the changing rainfall patterns. We find a very close relationship. Unlike all of the other metrics examined, this equatorial temperature difference can explain movements in the ITCZ for all experiments; furthermore, it holds for both a preindustrial and last glacial maximum background state. We contrast this with plotting P_{cent} against the temperature difference between the high northern latitudes and the equator (Figure 1e), in which case we find little relationship.

All of our analysis has focused on the zonal mean response of the ITCZ. However, Figure 2 shows that both the rainfall and surface temperature changes are not zonally homogeneous. The largest responses are centered around the Atlantic. To understand how much of the zonal mean response arises from the Atlantic, we recalculate P_{cent} over the Atlantic and eastern Pacific (240°E–360°E) Basin and the remainder of the globe (0°E–240°E). We find that P_{cent} in the Atlantic and the zonal mean are highly correlated, although the Atlantic P_{cent} changes by in excess of 7° in comparison to zonal mean changes of only 3.5° (Figure 3a).

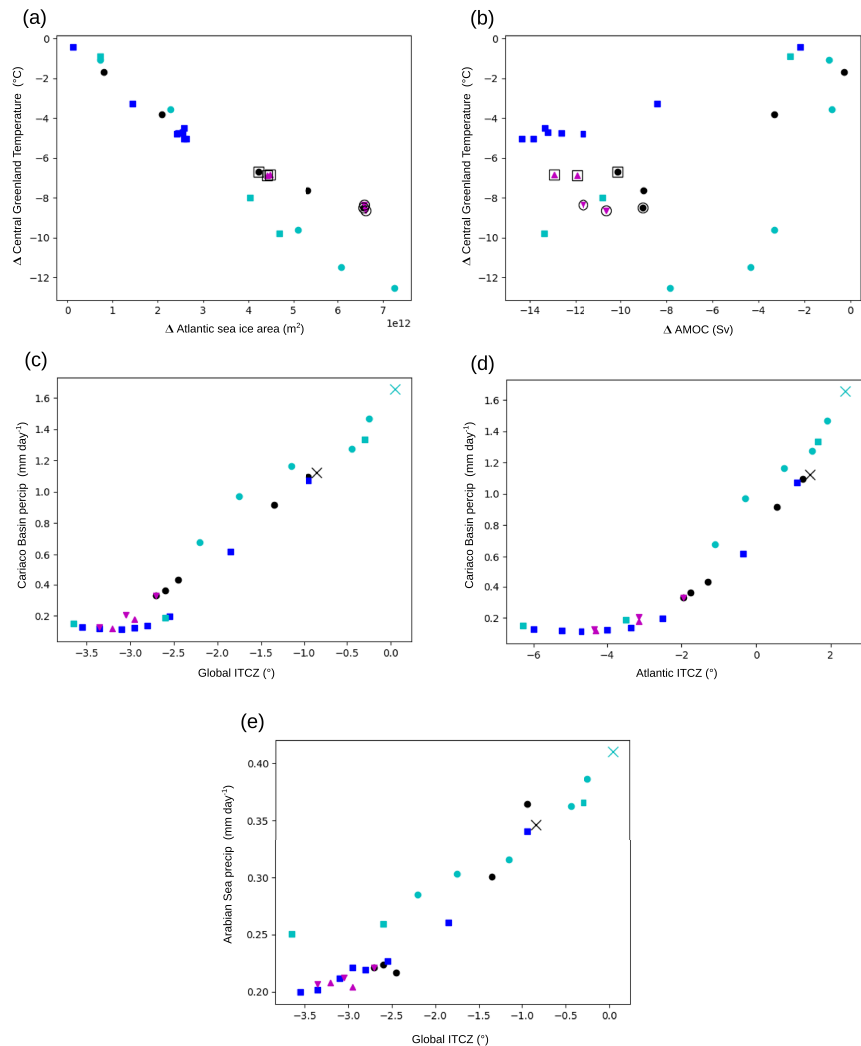


Figure 4. Climate variables at a number of locations. (a) Change in central Greenland temperature plotted against change in Atlantic sea ice area. (b) Change in central Greenland temperature plotted against change in AMOC strength. (c) Total rainfall over Cariaco Basin catchment plotted against global ITCZ location. (d) Total rainfall over Cariaco Basin catchment plotted against Atlantic ITCZ location (P_{cent} calculated over 240°E to 0°E.) (e) Total rainfall over the Arabian Sea plotted against global ITCZ.

By contrast, there is little correlation between the zonal mean ITCZ and the ITCZ outside of the Atlantic (Figure 3b). Comparing the meridional temperature gradient and P_{cent} in the two regions, we find the same result: there is a strong relationship in the Atlantic but no relationship outside this region (Figures 3c and 3d). We thus conclude that the relationships we have shown in the zonal mean quantities are driven by changes in the Atlantic. This is understandable because the forcing that we impose is in the Atlantic.

5. Implications

We have shown that it is not possible to explain changes in tropical rainfall in terms of only changes in the ocean circulation or the area of sea ice in the North Atlantic. Rather, we must understand the changes in the temperature gradient within the tropics themselves. This can explain how we might expect to see impacts from DO and Heinrich events in the tropics. In section 1, we described two features of Heinrich and DO events that must also be explained.

First, Heinrich events do not appear distinct in the Greenland temperature record yet there are changes in the tropical rainfall. Our model simulations show that the temperature in central Greenland is strongly correlated with the area of sea ice in the adjacent North Atlantic (Figure 4a) in agreement with previous

studies (Li, 2005). This linear relationship continues even with the huge increase in sea ice area that occurs when the sea ice edge is imposed to be at 40°N. We can infer from this that because there is no evidence of temperature changes during Heinrich events in Greenland, there was no increase in sea ice extent in the North Atlantic during these events. We find no relationship encapsulating all of the experiments between the strength of the AMOC and Greenland temperature (Figure 4b), which indicates that there is no direct influence of changes in the ocean circulation on Greenland temperature.

In the tropics, we do see evidence of both Heinrich and DO events. We have argued that the tropical response to abrupt climate changes is caused by a change in the meridional gradient of temperature near the equator. Our simulations show this can be caused by both sea ice expansion and hosing. Therefore, we conclude that during DO stadials, there is extended sea ice that cools both Greenland and the northern tropical Atlantic with the latter cooling acting to move the ITCZ south. During Heinrich events, which all occur during DO stadials, the freshwater flux does not expand sea ice any further; thus, there is no change in the temperature of Greenland, but it does change the temperature in the northern tropical Atlantic, moving the ITCZ even further southward. This can be seen in our simulations with both sea ice and hosing imposed (Figure 1). Brown and Galbraith (2016) showed similar results.

The second feature is the puzzling absence of Heinrich events from some tropical records. The highly time resolved Cariaco Basin record is an example of this (Peterson, 2000). Examining rainfall in the catchment of the Cariaco Basin in our simulations, we find that as the ITCZ moves southward, the rainfall amount falls almost linearly until the ITCZ reaches around 2.5°S (Figure 4c). If the ITCZ moves farther south than this, the rainfall amount does not change because it is no longer directly caused by rainfall in the ITCZ. This is most clearly seen if we only consider the ITCZ in the Atlantic Basin, in which case when the ITCZ has reached 6°S, the rainfall amount does not change (Figure 4d). We can, therefore, explain why we do not see Heinrich Events over Cariaco Basin: during DO stadial periods the ITCZ is moved sufficiently far away from Cariaco Basin that the rainfall there is insensitive to any further ITCZ movement during a Heinrich Event. We can contrast this with rainfall over the Arabian Sea. In this region, rainfall continues to decrease as the ITCZ moves south during DO stadials into Heinrich events (Figure 4e).

6. Concluding Remarks

We have shown that it is not possible to directly link changes in the ITCZ location to either changes in the ocean circulation or the area of sea ice. Instead, we must understand local temperature changes within the tropics. Both ocean circulation changes and expansion of sea ice can cause changes in the high-latitude energy budget, but we do not find that these changes are simply balanced by a cross-equatorial heat flux. Although there is a strong link between the location of the ITCZ and atmospheric heat transport, changing the heat transport is but one way that the climate can balance a change in the energy budget. Many studies have shown that local “compensation” is an effective way to balance energy budget changes: our results echo this (Cvijanovic & Chiang, 2012; Kang et al., 2009). This compensation can also reside in the ocean (Green & Marshall, 2017; Kang et al., 2018; Schneider, 2017). This offers an explanation for why we see no clear relationship between the imposed changes in ocean circulation and the climate response: part of the climate's response involves further changes in the ocean. This further reinforces our result that there is no simple relationship that can be applied to data sparse past climates.

Although we have shown that the surface temperature gradient is the clearest way to understand the changes in the ITCZ location, we are not able to explain what causes the changes to the surface temperature. Chiang and Bitz (2005) suggest that tropical temperatures are altered through a series of feedbacks between the ocean and atmosphere; Schneider et al. (2014) and Bischoff and Schneider (2014) suggest they are linked to changes in the energy budget. In the latter case, our analysis suggests that these changes are not simple and likely highly nonlinear.

Our hosing simulations could be interpreted as demonstrating a link between the AMOC and the location of the ITCZ. However, by using an alternative experimental setup, we demonstrate that this link is merely because hosing simulations cause both a change in the AMOC and a change in the equatorial surface temperature gradient, with the ITCZ location responding to the latter change.

We thus end with the caution that although hosing simulations are a way to perturb the climate, they do alter it in a very particular way. Therefore, it is of utmost importance that when using the results of a hosing

simulation to interpret an abrupt change in a climate proxy record, the root cause of the abrupt change is actually caused by something resembling a hosing simulation. Until such time as unequivocal ice-raft debris records are found to suggest that DO events arose from large injections of freshwater to the ocean, this precludes hosing simulations as being a useful way to interpret DO events.

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Model output used in this manuscript may be accessed from <https://www.paleo.bristol.ac.uk>. POH is supported by a University of Birmingham fellowship.

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